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# Prevalence of Metastasis and Involvement of Level IV and V in Oral Squamous Cell Carcinoma: A Systematic Review

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### Abstract

The occurrence of occult metastases in oral cavity squamous cell carcinoma (OSCC) to lower levels in the neck (levels IV and V) or development of skip metastases that bypass the upper neck levels (levels I to III) and go directly to level IV or V is common. This challenges the efficacy of conventional neck dissection approaches in the treatment of OSCC. Therefore, the decision to include lower levels cervical nodes during elective neck dissection of OSCC remains controversial.

This systematic review was designed to assess the prevalence of level IV and/or V involvement or skip metastases in patients with the clinically negative neck (cN0) or positive (cN+) oral squamous cell carcinoma (OSCC). We searched for studies published between December 2000 and December 2020. Potentially relevant abstracts and full-text articles were screened, and data from the studies were extracted. Quality was rated using the Newcastle Ottawa Scale (NOS) criteria.

In total, 802 abstracts and 227 full-text articles were screened, and 32 studies were included in this analysis. The prevalence of metastasis ranged from 1.8% to 66.0%. The incidence for skip metastasis to level IV or V was low, reaching 8.5%. Evidence favored elective neck dissection, including levels I to III, in selected patients with OSCC and patients with cN0 or cN+ neck. The literature was non-conclusive on the recommendation for inclusion of lower levels.

Categories: Otolaryngology, Pathology, Oncology

Keywords: supraomohyoid neck dissection, selective neck dissection, skip metastasis, level v, level iv, metastasis, elective neck dissection, oral squamous cell carcinoma

#### Introduction And Background

Oral squamous cell carcinoma (OSCC), constituted by a broad range of tumors with diverse etiologies, is a life-threatening malignant tumor that ranks as the sixth most common cancer by incidence, with 500,000 new cases reported worldwide annually, accounting for 32%-40% of all head and neck cancers [1,2]. It can metastasize to cervical lymph nodes via lymphatic vessels [2,3], with neck metastasis being the most important prognostic factor which affected survival by a nearly 50% decline [4]. The incidence of clinical cervical metastases from OSCC has been found to occur in as many as 40% of cases [5]. Moreover, occult regional lymph node metastases incidence detected using histopathological and immunohistochemical methods was found to range between 15% and 34% [6] among patients without clinical or radiologic evidence of lymph node metastases preoperatively.

Selective neck dissection (SND), which removes lymph node groups at designated anatomic levels (I-III), is accepted as the standard of care for the management of regional disease in OSCC patients with clinically positive node (cN+) involvement [7,8], as well as the standard elective procedure for clinically node-negative (cN0) patients or those with microscopic disease [9,10], resulting in improved quality of life and a lower likelihood of orofacial complication or shoulder dysfunction compared to other modalities, including comprehensive neck dissection, such as modified radical neck dissection (MRND) or radical neck dissection (RND) [11,12]. However, several studies have concluded that supraomohyoid neck dissection (SOHND, level I-III) is inadequate in patients with OSCC, owing to occult metastasis to neck level IV and that this level should be routinely dissected [15,14].

In view of the controversies surrounding the inclusion of lower levels for dissection, the present study was designed with the objectives of conducting a systematic review of all relevant published literature: (i) to study the prevalence and distribution of metastasis levels and related adverse outcomes in clinically N0 and N+ OSCC; and (ii) to determine the frequency of involvement of levels IV and V, as well as skip metastasis to level IV in patients diagnosed with OCSCC without preoperative evidence of neck involvement. We aimed to summarize the recommendations for routine dissection of lower levels of nodes in patients with OSCC.

## Review

## Methodology

Search Strategy

A comprehensive search for all relevant articles published in English between January 2000 and December 2020 was performed using the electronic databases PubMed, Embase, Ovid, Google Scholar, and Science Direct. We included retrospective, prospective, clinical trials, and cross-sectional studies. The key search terms used either alone or in combination were neck dissection, radical neck dissection, cN0 neck, cN+ neck, oral squamous cell carcinoma, skip metastasis, occult metastasis, lymph node management, neck metastasis, oral cavity cancer, and tongue cancer. The references of articles and citations were also searched

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#### for additional potentially relevant publications.

#### Study Eligibility Criteria

All studies that included patients who underwent a neck dissection (ND) of at least levels I through III or I-IV and presented information on clinically node-negative (cN0) and/or clinically node-positive (cN+) necks were eligible for inclusion. The inclusion criteria were as follows: (1) any prospective or retrospective cohort, (2) a study population with the histopathologic diagnosis of OSCC, and (3) full text available in the English language. In addition, studies that reported skip metastasis (metastasis solely at neck level IV or V) were also eligible for inclusion. Exclusion criteria were as follows: (1) studies on patients who underwent treatment other than surgery as primary treatment, such as preoperative radiotherapy and chemotherapy, and (2) studies on recurrent tumors other than SCC.

#### Data Extraction

Information regarding patient characteristics, primary tumor site, treatment, sample size, metastasis, authors, publication year, and the country was retrieved from the selected articles. Data were initially extracted and evaluated by two authors (AA, TA). The distributions of the T category, the extent of ND, the subsite of the primary tumor, and nodal metastasis were recorded. A skip metastasis was defined as a positive level IV (or lower) node on final pathology without the involvement of higher levels (i.e., levels I-III). A level IV nodal metastasis coexisting with nodes at other neck levels was assessed separately. We followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines for reporting the included observational studies [15].

#### Quality Evaluation

The quality of literature was evaluated according to the Newcastle Ottawa Scale (NOS) evaluation criteria [16]. By quality evaluation, 21 references were ranked high, seven references were medium, and only four were ranked low (Table 1).

Silverman [17]20038Anderson [18]20027Jena [19]20137Liao [20]20116Jayasuriya [21]20208Haranadha [22]20187Chheda [23]20147Kakei [24]20208Marchiano [25]20164Givi [26]20187Pandey [27]20187Agarwal [28]20183Mishra [29]20106Shimura [20]20197
Anderson [18]20027Jena [19]20137Liao [20]20116Jayasuriya [21]20208Haranadha [22]20187Chheda [23]20147Kakei [24]20208Marchiano [25]20164Givi [26]20125Pandey [27]20187Agarwal [28]20106Mishra [29]20106Shimura [30]20197
Jena [19]       2013       7         Liao [20]       2011       6         Jayasuriya [21]       2020       8         Haranadha [22]       2018       7         Chheda [23]       2014       7         Kakei [24]       2020       8         Marchiano [25]       2016       4         Givi [26]       2012       5         Pandey [27]       2018       7         Agarwal [28]       2018       3         Mishra [29]       2010       6
Liao [20]20116Jayasuriya [21]20208Haranadha [22]20187Chheda [23]20147Kakei [24]20208Marchiano [25]20164Givi [26]20125Pandey [27]20187Agarwal [28]20196Mishra [29]20197
Jayasuriya [21]       2020       8         Haranadha [22]       2018       7         Chheda [23]       2014       7         Kakei [24]       2020       8         Marchiano [25]       2016       4         Givi [26]       2012       5         Pandey [27]       2018       3         Mishra [28]       2010       6
Haranadha [22]       2018       7         Chheda [23]       2014       7         Kakei [24]       2020       8         Marchiano [25]       2016       4         Givi [26]       2012       5         Pandey [27]       2018       7         Agarwal [28]       2019       6         Mishra [29]       2010       6
Chheda [23]       2014       7         Kakei [24]       2020       8         Marchiano [25]       2016       4         Givi [26]       2012       5         Pandey [27]       2018       7         Agarwal [28]       2019       6         Shimura [30]       2019       7
Kakei [24]         2020         8           Marchiano [25]         2016         4           Givi [26]         2012         5           Pandey [27]         2018         7           Agarwal [28]         2019         6           Mishra [29]         2019         7
Marchiano [25]         2016         4           Givi [26]         2012         5           Pandey [27]         2018         7           Agarwal [28]         2018         3           Mishra [29]         2010         6           Shimura [30]         2019         7
Givi [26]     2012     5       Pandey [27]     2018     7       Agarwal [28]     2018     3       Mishra [29]     2010     6       Shimura [30]     2019     7
Pandey [27]         2018         7           Agarwal [28]         2018         3           Mishra [29]         2010         6           Shimura [30]         2019         7
Agarwal [28]         2018         3           Mishra [29]         2010         6           Shimura [30]         2019         7
Mishra [29]         2010         6           Shimura [30]         2019         7
Shimura [30] 2019 7
Parikh [31] 2013 6
Jerjes [32] 2010 6
Cariati [33] 2018 7
Patel [34] 2019 5
Lodder [35] 2008 5
Lim [36] 2006 6
Kowalski [37] 2002 7
Feng [38] 2013 8
Sivanandan [39] 2004 7
Crean [40] 2003 4
Khafif [41] 2001 6
Balasubramanian [42] 2012 7
Köhler [43] 2018 8
Deo [44] 2007 7
de Vicente [45] 2015 7
Rani [46] 2015 3
Chatterjee [47] 2019 6
Vishak [48] 2014 7

## TABLE 1: The quality rating of included studies using the Newcastle Ottawa Scale (NOS)

### Results

The search and selection process of the articles is presented in Figure *1*. A total of 1482 articles were identified via the database search based on the selection criteria, and two additional articles were later found through reviewing articles and reference lists of retrieved articles. After removing duplicates, 453 articles were screened by their titles and abstracts, and 61 were retained. After full-text revision, 31 articles were excluded (Figure *1*). Thus, 32 studies [17-48], all published in English, were included for further analysis.



### FIGURE 1: PRISMA flowchart: selection of studies for systematic review

PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses

Description of the Studies

Data of 12,309 patients included in the 32 studies were analyzed. In all studies, cases of level IV or V metastasis and cervical IIb metastasis were confirmed by pathologic examination or other technologies. All studies did not, however, have consistent inclusion criteria and exclusion criteria. Five studies [19,23,28,30,40] reported data from only OSCC patients with cN0, while three [18,21,24] had only data on cN+; five studies [17,29,31,33,35] had mixed data of clinical N0 and N+ cases. The details of the studies included are summarized in Table 2.

	Author	Year	Region	n	Male %	Primary site	Clinical staging	Metastasis prevalence %	Metastasis level	Treatment given	Recurrence/Survival	Other risk factors	o
	Silverman [17]	2003	115	74	55%	HNSCC	TNM	4 40%	N0- 1.6% (in level IIB)		Pecurrence, 5.6%	NA	Le
			03	14		Oral cavity- 47.3%		4.40 %	N1- 11.1% (in level IIB)	SND Level II	Recurrence- 3.0 /	NA	re
									N1- 54.7%	SOHND I-III	5 year-DSS- 68.8%		
		2002				Oral cavity-			N2a- 4.7%	SND II-IV	Local Recurrence- 12.3%		
	Anderson [18]		US	106	71.70%	39.6% TNM all N+ve N2b- 26.4%			NA	N.			
							N2c- 13.2% SND I-IV N3- 0.9%	SND I-IV	Regional recurrence- 4.3%				
									N3- 0.9%				
			India			Oral ca.		10.4%	I- 50 Pts	SOHND		Alcohol	t n de be op ris th
		0010				Buccal mucosa- 53.2%		(occult metastasis)	II- 32 Pts		NA	Betelnut	
	Jana (40)			218	15 60%	cN0- 31.1% III-15 Pts MRND MRND Gingivobuccal sulcus- 33% 30.27% Skip metastasis- 1.8%	cN0- 31.1%	LN metastasis	III-15 Pts	MRND		Smoking	
	Jena [19]	2013			15.60%				IV- 2 Pts				di
									V- 2 Pts				st
								IODACCO	of m				
			OSCO	OSCC				Radical or modified neck dissection I-IV	Local recurrence- 16%	Alcohol			
						Tongue-34%					Neck recurrence- 19%	Betelnut	
					FOM- 6%					local/neck		Lŧ	
	Liao [20]	2011	Taiwan	aiwan 255 94.10%		T1-T4	33% (Distant)	IV/V-8.2%		recurrence - 9%		in a	
									local/distant				

						Lip- 1%				SOHND I-III	metastasis 3%		fc
						Buccal- 37%					neck/distant metastasis-14%	Tobacco	
						Gum- 15%					locoregional/distant -		
						RMT- 6%					7%		
						OSCC			I- 58.3%				R n re
	Jayasuriya [21] 2020 Sri	0 Sri Lanka	187	72%	Anterior 2/3 <sup>rd</sup> of tongue- (4/68)	cN+	NA	II- 56%	Neck dissection	NA	NA	L d re	
					Buccal			III- 40%				w si	
				mucosa-			IV- 27.3%				m		
						(4/00)			V- 6.4%				IE
						Buccal mucosa- 171		Level IIB involvement when IIA involved by 2 or more LN - 40%;	pN0- 125	MRND- 178			L re W P b
					Tongue- 15		Level V	pN1-74	SND I-III- 11				
				RMT- 6		involvement when level							
	Haranadh [22]	2018	India	199	45%	Lower alveolus- 4	TNM	III involved by 2 or more LN 100%	IA-4%		NA	NA	R
					Lip- 2			IB-30%				ir	
							IIA-14%	SND I-IV- 10			> S		
								occult	IIB-3%				ir
						FOM- 1		metastasis 17%	III- 5%				
									IV-1%				
								LN	V-3%				
									IA- 28 Pts	Modified neck			R
						Tongue-71.4%	TNM		IR 04 Dto	dissection- 120			n
						Buccal mucosa-			IIA- 16	Pts Extended SOHND- 40 Pts			re
	Chheda [23]	2014	India	210	74.20%	14.270		metastasis 42 Pts			NA	NA	т
						Lower alveolus- 12.3%	cN0	(20%)	IIB- 2 (0.95%)	SOHND- 50 Pts			o si e
						DMT 4 0%			III- 2				
						RIVIT - 1.9%			IV/V- 0				
						Tongue-45 Pts	cTN1M0		pN1:				
						Lower gingiva- 24 Pts	IA-2 Pts		IA-2 Pts				
						Buccal mucosa- 15 Pts	IB-61 Pts		IB-20 Pts				
						Oral floor-8 Pts	II-37 Pts		II-14 Pts				L e
							III-0	LN	III-1Pts				۱\ د
	Kakei [24]	2020	Japan	100	58%		IV-0	metastasis 66%	IV/V-0	SOHND	NA	NA	C
								pN2b:				c m	
									IA-1 Pts				le

						Upper gingiva- 8 Pts	V-0		IIB-8 Pts II-10 Pts III-8 Pts IV-2 Pts V-0				
						OSCC			in T1 : level IV (3.1%) level V (1.1%)		5 year DSS: with		Le be di O
						buccal (6.2%)		(24.1%)	in T2 : level IV (6.5%) level V (3.1%)		Level I, II, or III involvement - 42%		
	Marchiano [25]	2016	1194	0201	62 20%	FOM (16.4%)				Nock disposition		NA	
	Marchiano [25]	2010	USA	0201	02.30 /0	gum (9.6%)			in 13 : level IV (9.5%) level V	Neck dissection		NA .	Lŧ
						Hard palate- (2.3%)		distant metastasis	(3.7%)		Level IV involvment DSS- 30.6%		in w pr
						lip (18%)							
						RMT (5.4%)		(1.6%)	in T4 : level IV (11.2%) level V				
						tongue (42.1%)			(4.9%)		DSS if level V- 26.4%		
						Mucosal SCC of head and neck		N+ve - 108 (all Pts)	I-III: (11.1%)		recurrence- 5.5%	NA	Si se
	Givi [26]	2012	Canada	108	64%	Oral cavity- 71.3%	TNM		I-IV: (79.6%)	SND	death- 21.3%		gr lo di
						Oropharynx - 22.2%			II-IV: (4.6%)		DSS- 76.9%		pr in E
						larynx - 4.6%			II-V: (4.6%)				
						OSCC			I-III: 30		Recurrence- 3 Pts		
	Deader (07)												
	D. 1. 1071	0010		32 cN-	07.500/	Buccal mucosa- 18	This 4 4	3 Pts has		IIB preserving super-selective	DFS- 83% in (SSND)		S: or
	Pandey [27]	2018	India	32 cN- ve Pts	87.50%	Buccal mucosa- 18 Lower alveolus- 6	TNM 1-4	3 Pts has pN+ level lb	I-IV: 2	IIB preserving super-selective neck dissection (SSND), SOHND	DFS- 83% in (SSND)	NA	S: or pa ve
	Pandey [27]	2018	India	32 cN- ve Pts	87.50%	Buccal mucosa- 18 Lower alveolus- 6 Tongue-8	TNM 1-4	3 Pts has pN+ level lb	I-IV: 2	IIB preserving super-selective neck dissection (SSND), SOHND	DFS- 83% in (SSND) DFS - 91% in (SOHND)	NA	S: or pa ve
	Pandey [27]	2018	India	32 cN- ve Pts	87.50%	Buccal mucosa- 18 Lower alveolus- 6 Tongue-8 OSCC	TNM 1-4	3 Pts has pN+ level lb	I-IV: 2 IIA- 11.68%	IIB preserving super-selective neck dissection (SSND), SOHND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59%	NA	Si pi ve Si ac
	Pandey [27] Agarwal [28]	2018	India	32 cN- Pts 231	87.50% 82.75%	Buccal mucosa- 18 Lower alveolus- 6 Tongue-8 OSCC buccal - 50.2%	TNM 1-4 N0	3 Pts has pN+ level lb LN mets	I-IV: 2 IIA- 11.68% IIB- 0.86%,	IIB preserving super-selective neck dissection (SSND), SOHND SND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59%	NA	Si or pi ve Si at
	Pandey [27] Agarwal [28]	2018	India India	32 cN- ve Pts 231	87.50% 82.75%	Buccal mucosa- 18 Lower alveolus- 6 Tongue-8 OSCC Duccal - 50.2% Soccassing and a second seco	TNM 1-4 N0	3 Pts has pN+ level lb LN mets 30.73%	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0	IIB preserving super-selective neck dissection (SSND), SOHND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59%	NA	Si or pia ve Si ac III di re pia
	Pandey [27] Aganwal [28]	2018	India	32 cN- Pts 231	87.50%	Buccal mucosa-18 Lower alveolus- 6 Tongue-8 OSCC buccal - 50.2% Congue- 36.3%	TNM 1-4 N0 T1- 2N0M0;	3 Pts has pN+ level lb LN mets 30.73%	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 N0 Cases: Levels I, II, III (26%)	IIB preserving super-selective neck dissection (SSND), SOHND SND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59% nodal recurrence 9.52%	NA	Si or pa ve Si ac III di re pa
	Pandey [27] Agarwal [28]	2018	India	32 cN- ve Pts 231	87.50% 82.75%	Buccal mucosa- 18 lower alveolus- 6 Tongue-8 0SCC buccal - 50.2% coscc oscc coscc page - 34 Pts	TNM 1-4 N0 T1- 2N0M0;	3 Pts has pN+ level lb LN mets 30.73%	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Levels I, II, III (26%) Level IV/V- No metastasis	IIB preserving super-selective neck dissection (SSND), SOHND SND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59% nodal recurrence 9.52% local recurrence 2 Pts	NA	Si or pi ve Si at lif re pi Si re
	Pandey [27] Aganwal [28] Mishra [29]	2018 2018 2010	India	32 cN- ve Pts 231	87.50% 82.75%	Buccal mucosa-18 Lower alveolus-6 Cogcc Dogcc Cogcc Cogge Cogcc Cogge Co	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb LN mets 30.73%	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Levels I, II, III (26%) Level IV/V- No metastasis Level IV/-9%	IIB preserving super-selective (SSND), SOHND SND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59% nodal recurrence 9.52% local recurrence 2 Pts	NA	Si or pi ve Si acili di re pi Si re fo ar N
	Pandey [27] Agarwal [28] Mishra [29]	2018 2018 2010	India	32 cN- Pts 231 81	87.50% 82.75%	Buccal mucosa-18 Lower alveolus-6 OSCC DSCC Duccal - 50.2% CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC CoSCC	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb LN mets 30.73%	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Level S, II, III (26%) Level IV/V- No metastasis Level IV/-9% Level IV-9%	IIB preserving super-selective (SSND), SOHND SND SND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 2.59% nodal recurrence 9.52% local recurrence 2 pts neck recurrence-0	NA	Si or pa ve Si actilit di re pa Si re fo ar N
	Pandey [27] Agarwal [28] Mishra [29]	2018	India	32 cN- ve Pts 231	87.50% 82.75%	Buccal mucosa-18 lower alveolus-6 Coscc buccal-50.2% coscc coscc coscc coscc coscc buccal-19 Pts cothers-28 Pts	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Levels I, II, III (26%) Level IV/- No metastasis N+ Cases: Level IV-9% Level V- 0 Skip metastasis-0	IIB preserving super-selective neck dissection (SSND), SOHND SND SND	DFS- 83% in (SSND) DFS- 91% in (SOHND) local recurrence 2.59% nodal recurrence 9.52% local recurrence 2 Pts neck recurrence- 0	NA	Si or pa ve Si actili di re pa Si re fo ar N
	Pandey [27] Agarwal [28] Mishra [29]	2018 2018 2010	India	32 cN- ve Pts 231 81	87.50% 82.75%	Buccal mucosa-18 lower alveolus-6 Coscc buccal-50.2% coscc coscc buccal-19 Pts coscc Pts coscc	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Levels I, II, III (26%) Level IV/V- No metastasis Level IV/-9% Level V- 0 I Level V- 0 Skip metastasis-0 ipsilateral I-VI	IIB preserving super-selective neck dissection (SSND), SOHND SND	DFS- 83% in (SSND) DFS - 91% in (SOHND) local recurrence 9.52% local recurrence 2 Pts neck recurrence- 0 Primary Recurrence-28%	NA	Si or pa ve Si ac III di re pa Si re fo ar N
	Pandey [27] Agarwal [28] Mishra [29]	2018 2018 2010	India India India	32 cN- ve Pts 81 81	87.50% 82.75% NA	Buccal mucosa-18 lower alveolus-6 Coscc buccal-50.2% coscc coscc buccal-19 Pts coscca Pts coscc coscc coscc	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Levels I, II, III (26%) Level IV/V- No metastasis N+ Cases: Level IV-9% Level V- 0 Skip metastasis-0 ipsilateral I-VI	IIB preserving super-selective (SSND), SOHND SND SND Extended SOHND, MRND-I	DFS- 83% in (SSND) DFS- 91% in (SOHND) Coal recurrence 5259% Dodal recurrence 0.52% Coal recurrence 2 Pts Coal recurrence-0 Coal recurrence-0 Coal recurrence-0 Coal coal coal coal coal coal coal coal c	NA NA NA	Si or pa ve Si atti di re pa Si re fo a N
	Pandey [27] Agarwal [28] Mishra [29] Shimura [30]	2018 2018 2010 2019	India India India	32 cN- ve Pts 231 81 131	87.50% 82.75% NA 59%	Buccal mucosa-18 lower alveolus-6 Coscc buccal-50.2% doscc coscc coscc coscc buccal-19 Pts doscca -19 Pts coscc coscc coscc coscc coscc coscc coscc coscc	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb LN mets 30.73% 26% (occult) LN mets 52%	I-IV: 2 IIA- 11.68% IIB- 0.86%, IV- 0 NO Cases: Levels I, II II (26%) ILevel IV/V- No metastasis Level IV- 0 Skip metastasis-0 ipsilateral I-VI contralateral I-	IIB preserving super-selective (SSND), SOHND SND SND SND, Extended SOHND, MRND-I SND, MRND/ RND	DFS- 83% in (SSND) DFS- 91% in (SOHND) Dcal recurrence s.59% Dodal recurrence s.52% Docal recurrence 2 Pts Dcal recurrence 2 Pts Dcal recurrence 2 Dcal cource cour	NA NA NA	Si or pa ve Si a ll di re pa Si re fo ar N In po fo Si re
	Pandey [27] Agarwal [28] Mishra [29] Shimura [30]	2018 2018 2010 2019	India India India	32 cN- ye Pts 81 131	87.50% 82.75% NA 59%	Buccal mucosa-18 cower alveolus-6 coscc buccal-50.2% coscc c	TNM 1-4 N0 T1- 2N0M0; T1-3N1M0	3 Pts has pN+ level lb 26% (occult) LN mets 52% cN0 - 23% (occult	I-IV: 2 IIA- 11.68% IIB- 0.86%, IIB- 0.86%, IU- 0 IV-	IIB preserving super-selective (SSND), SOHND SND SND SND SND, SOHND, Extended SOHND, MRND-I SND, MRND/ RND	DFS- 83% in (SSND) DFS - 91% in (SOHND) Coal recurrence 2.59% Dodal recurrence 9.52% Coal recurrence 2 Coal recurrence 2 Coal recurrence-0 Coal recurrence-0 DSS (cND)- 80% Coal coal coal coal coal coal coal coal c	NA NA NA	Si or pa ve Si aci ili di re pa Si re fo ar N In pc fo Si re

	Parikh [31]	2013	India	210	155	Tongue/FOM- 31% Alveolar- 12%	TNM	cN+ve - 77%	lb- 99/112 II/III- 13/112	SND	NA	NA	Si re fo ot le
						10%			Skip metastasis- 0				
						Lip- 4%							
						OSCC:							
					56.50%	FOM- 20.9% Tongue-		pN1 - 12 Pts			Recurrence- 37.4%		
	Jerjes [32]	2010	UK	115		Buccal mucosa- 2.6%	T1-2N1- 2M0		NA	Primary resection +		NA	N
						Alveolus Retromolar area- 2.6%		PN2 - 22 Pts		neck dissection	5-year survival- 72.2%		
						Lower lip- 4.5%							
							T1-T4		IB-59.3%		Recurrence- 67.9%		
							N0, N1, N2		IIA- 30.5%		5-year survival- 69.8%		
			3 Spain	53	29	Buccal		LN metastasis 17 Pts (32%)	IIB- 0	NA		Tumor	R
	Cariati [33]	2018				squamous cell			III- 10 1%			thickness,	ea
						са			IV- 0			N stage	C£
									V- 0				
						OSCC			level I- 50%				
						Buccal- 36.7%		LN metastasis - 36.7%	II- 28.57%	MRND, RND, SOHND		l obacco chewing	
						Tongue- 30%			III- 11.9%			alcohol	S
		2010		30	24 Pts	Alveolus- 20%	<b>T</b> 4 <b>T</b> 4		IV -7.14%			betelnut	М
	Patel [34]	2019	9 India			Bucco- alveolar- 10%	11-14		V- 2.38%				ar N Ca
						Lower lip- 3.3%			Skip III- 6.7%			smoking	
									Skip IV- 0				
								Oral cavity (201 Pts)	vity Level III- 4%	MRND I-V - 60%			S
								Skip metastasis (III/IV)- 6%	Level IV (in N0/N1)- 2%				fo In Io
	Lodder [35]	2008	Netherlands	291	NA	oral and oropharyngeal	T1-T4 / N0, N1		Level IV (in N2)- 26%		NA	NA	re
						carcinoma		LN metastasis-	level V (in N0/N1) - 2%				In
								48%	level V (in N2) - 5%	SND I-IV - 40%			le be
									level V ( in N3) - 20%				
								LN	level I- 17%				M
								metastasis -91%	level II- 70%				si
						orol/			level III- 41%				a: m
	Lim [36]	2006	Korea	93	80 Pts	oral/ oropharyngeal SCC	N+ve	occult metastasis level V - 4%	level IV- 31%	Comprehensive Neck dissection	NA	NA	V
									level V ipsilateral -5%		on NA		Lŧ
									level V contralateral - 0%				pr N: O

						oral cavity ca Tongue- 43.9%			level I - 8.5% level II 35.4%				
						Floor of the mouth- 23.8%			level III - 2.4%				S
	Kowalski [37]	2002	Brazil	164	86.60%	retromolar - 16.5%	T1-T4 /cN1,cN2a	LN mets 57.9%	Level IV- 0.6%	RND	regional recurrence- 8.5%	NA	aţ N
						buccoalveolar sulci- 3.7%			level V- 0%				
						lower gum - 12.2%			multi-levels- 11.6%				
									I- 55.1%				
								occult	II- 38.2%				Siar
	Feng [38]	2013	3 China	637	55.40%	OSCC	N0, N+ve	metastasis 28.4%	III- 6.7%	SOHND, RND/ MRND	neck recurrence- 9.2%	NA	O E: al
									Skip metastasis Level IV/V- 0%				
						oropharynx &					N2-N3 neck disease- 59 Pts		N
	Sivanandan [39]	2004	USA	100	74 Pts	oral cavity- 80%	N0-N3	LN 25%	I-IV	RND, MRND	Neck Recurrence- 7% (after radiotherapy 4%)	NA	re
						oral cavity							E,
	Crean [40]	2003	UK	49	24 Pts	FOM 16 Pts Tongue 14 Pts	NO	LN 26.5%	Level IV occult metastasis- 10%	ESOHND	neck recurrence- 8.2%	NA	E: re fo
	Khafif [41]	2001	USA	51	NA	Oral Topque	T1 T2/ N0	occult metastasis	Level IV mets Neck dissection	Neck dissection	16% neck	NA	S er
	- Colom [4 1]	2001		51		Star rollyue	11-13/140	26%	4%	I-III, and IV	recurrence		to N
				52			T4 T4	LN mets	Level III skip mets- 3.8%		Desume ( Di ( )		S
	Balasubramanian [42]	2012	India		43 Pts	Oral Tongue	T1-T4, N0-N2	39.5% (17 Ptc)	Level IV skin	Neck dissection	Recurrence- 3 Pts (1 in neck)	NA	fo
								r'IS)	mets- 1.9%		neck recurrence -12		st
				163	89.57%	tonsillar SCC	T1-T4	6% (levels IV-V)	Combinations	SND	Pts	Tobacco	re
	Köhler [43]	2018	8 Brazil						present for levels				m
										MRND	Deaths-61 Pts	Alcohol	le V st
						Buccal mucosa- 28.78%	T1-T4		Skip metastasisLevel III-5%				
						Tongue- 21.16%			skip metastasis Level IV-2%	Modified neck dissection		Tobacco chewing	
						Alveolo- buccal-18.73%	cN0	I N mote					In
	Deo [ 44]	2019	India	945	77.57%	Alveolus- 11.01%		LIN mets- 39.7%			NA		th Io
						Central arch and FOM-			skip metastasis Level V-0.5%	SOHND		Smoking	
						9.52%	cN+						
						RMT- 5.71%							
						LIP- 5.08%							
						35.7%				SND (I-III)	Recurrence-7.1%		
	de Vicente [45] 20			56	75%	mouth-23.2%		LN mets		ESND (I-IV)		Tobacco, I alcohol r	R di
		2015	15 Spain			Gum- 23.2%	TNM		IIb	MRND (I-V)	0 1 1/ 10 1		le m
								2 0 / 0			Survival (without	21001101	

						Palate- 3.6% Buccal- 3.6% Retromolar- 10.7%				RND	recurrence)- 80.4%		in Ie
						Lower alveolar ridge- 50%	TNIM	LN mets		SND (I-III)-6 Pts	Survival-70%		
	B : 110	0045		10	0000	Upper alveolar ridge-10%						NA	N
Rani [46]	2015	India	10	00%	Buccal mucosa-10%	TNM	50%	I & II		regional recurrence	NA	re	
						Tongue-20%				MRND-4 Pts	20%		
						RMT-1%							
						anterior two- thirds of tongue- 52.2%	TNM	LN mets 38.1%	N0- 78 Pts	NA	Recurrence-2 (2/48)		Tı ar
	Chatterjee [47]	2019	India	126	104 Pts	buccal mucosa- 36.2%			N1-18 Pts		Diad 8 (9/48)	NA	in a: a
									N2b- 28 Pts		Dica 0 (0,40)		m
						others- 11.6%			N3b- 2 Pts				
									I- 10.5%				
									II- 10%			higher	O W
	Vishak [48]	2014	India	57	75.40%	Oral Tongue	TNM (T1)	LN mets 36.8%	Skip metastasis to III-IV 8.5%	s MRND	NA	tumor size	th a:
									Skip metastasis to IV 1.75%			>1 cm	a Li

# TABLE 2: Study characteristics and pattern of lymph node metastasis in oral cavity squamous cell carcinoma

SCC, squamous cell carcinoma; HNSCC, head and neck SCC; OSCC, oral cavity SCC; TNM, tumor-node-metastasis staging system; SND, selective neck dissection; SOHND, supraomohyoid neck dissection; SSND, super-selective neck dissection; ESOND, extended supraomohyoid neck dissection; MRND, modified radical neck dissection; RND, radical neck dissection; cN/pN, clinical lymph node status/pathological lymph node status; FOM, floor of mouth; RMT, retromolar trigone; DSS, disease-specific survival; LN, lymph nodes; Ca, cancer; mets, metastasis; Pts, patients.

The prevalence of metastasis ranged from 1.8% to 66.0% [24]. Among 23 studies reporting metastasis level up to level V, 13 studies [19-22,24,29,34,35,37,40-43] reported level IV involvement, and eight reported level V involvement [19-22,31,34,36,43]. The rate of involvement of level IV among the patients with cN0 was up to 10.4% [19], with four studies [23,28,29,33] reporting no involvement.

Six articles [19,29,31,34,38,48] illustrated the characteristics of cervical skip metastasis patients, which gave details of sites, T stages, isolated IIb metastases [45], and associated metastatic lymph nodes. The incidence for skip metastasis to level IV or V was low, reaching up to 8.5% [29,31,34,48]. However, not all the information was complete for each study. The most common primary site for level IIb metastases was the tongue [22-24,45,47], reported between 2% and 28% [23,47]. The rate of skip metastasis among cN0 was also low, reaching 1.8% [19,29,31].

Studies Recommending Dissection of Lower Levels

Five studies [17,21,24,45,48] recommended dissection of lower neck levels. Three of these studies [21,24,48] reported metastasis to level IV, while one [17] reported metastasis to level V. None of them were on patients with cN0, two [21,24] had data on N+, while three [17,45,48] had mixed data. One study reported metastasis to level IIb in tongue carcinoma [45].

Studies Not Recommending Dissection of Lower Levels

Thirteen studies [21,22,24,28-31,35-37] did not recommend dissection of lower neck levels because of the low prevalence of metastasis to these levels. Only six of these studies [28-31,35,37] reported metastasis to level IV, while five studies [21,22,24,35,36] reported metastasis to level V. Four of them were on patients with cN0 [23,28,29,31], while six [21,24,29-31,36] presented data on N+ patients. Three studies [22,35,37] reported mixed nodal status, and one study [23] was on level IIb involvement for oral tongue carcinoma.

Studies With Inconclusive Results on Dissection of Lower Levels

Few studies [18,19,34,39,41,47] were inconclusive in recommending whether lower-level dissections should be undertaken or not, with routine neck dissections. These studies reported no metastasis at level IV or V

and concluded that SND I-III was sufficient in most cases. However, these studies also went on to recommend dissection of levels IV and V based on the surgeons' clinical decisions during surgery. Of these, one [19] reported data on cN0 neck, one [18] on N+ neck, and four [34,39,41,47] had mixed nodal status. In addition, twelve studies [20,25-27,32,33,38,40,42-44,46] did not make any clear recommendation on inclusion or non-inclusion of lower levels for neck dissections for lack of such data. A study by Jayasuriya et al. [21] presented ambiguous results wherein the authors did not recommend routine neck dissection for level Y, however, they went on to recommend level V dissection when nodal stages >N2b and metastasis to level II and IV were observed in a case.

#### Discussion

This review revealed that the available literature favored either selective neck dissection, including only the upper levels (I-III), or was inconclusive. Most studies support the view that primary neck dissections should be limited to upper levels only, owing to the low rates of lower level (level IV and beyond) metastasis and the difficulty as well as the damage incurred (thereby introducing complications) due to the inclusion of those levels. Through independent studies, most authors have supported that high efficacy and minor morbidity for selecting pN+ OSCC patients may be achievable using SND (I-III) [38,49,50]. In a meta-analysis that compared SND with MRND/RND in OSCC patients with cN+ disease, authors [51] suggested that cN+ OSCC patients treated with SND (I, I-III, or I-IV) or those treated with MRND/RND had comparable clinical outcomes measured by no significant difference for regional recurrence, overall survival (OS), or disease specific survival (DSS) between any of the dissection treatment types. The meta-analysis was, however, limited by the inclusion of studies where the extent and selection of the SND levels differed between studies other than levels I-II. The result of this meta-analysis supports our claim that even with variable surgical methods, it is not advisable to routinely include lower-level dissections. Contrary to the findings of the present study, independent studies, such as one by Shah et al. [52], have reported that 15%-16% of tongue/oral cancer with clinically detected lymph node(s) (cLN(s)) had pathological lymph node(s) (pLN(s)) to level IV, thereby recommending extended SOHND, which includes dissecting level IV.

Skip metastasis, described by Byers et al. [14], refers to the condition in which OSCC bypasses levels I, II, or both and goes directly to levels III or IV. The rate of skip metastasis in the original study was reported as 15.8%, thereby recommending routine dissection at neck level IV. Later analysis, however, revealed that among cN0 patients, only 5.5% had skip metastasis to level IV. Later analysis, however, revealed that among cN0 patients, only 5.5% had skip metastasis to level IV. making the recommendions controversial. Later, Crean et al. [40] similarly demonstrated that 10% of patients had involvement of neck level IV despite having been preoperatively diagnosed with a cN0 neck, with only 2% having a true skip metastasis to level IV. In a recent meta-analysis, the authors found the rate of skip metastasis to be low (overall involvement rate of 2.53% and skip metastasis rate of 0.50%), even with advanced tumor stages, wherein the final recommendation was not to include dissection of lower levels routinely [53]. A meta-analysis was conducted in 2020 to investigate the prevalence of level IV involvement and skip metastases in patients with clinically negative neck (cN0) oral tongue squamous cell carcinoma. It also recommended elective neck dissection that includes levels I to III because of the low rates of level IV involvement and skip metastasis [54]. Our review also supports the view for non-inclusion of lower levels in ND for suspicion of skip metastasis.

Some arguments may be made in terms of benefits archived in ipsilateral, contralateral, or bilateral node infiltration. Although we did not study the laterality of recurrence, the available literature [30] suggested that SND (I-III) could achieve good regional control and had a favorable prognosis for cN+ OSCC. In a study with ipsilateral neck recurrence rates ranging from 11%-14%, similar conclusions were drawn for the pN+ cohort [30].

Some studies reported data on oral tongue SCC, which is the most common primary site for OSCC, with most studies suggesting metastasis to level IIb [55,56], leading scholars to recommend level IIB dissection routinely in tongue SCC. Few studies [57,58] found no statistical significance between site and metastasis, which makes a contrary view due to the difficulty of approach, questionable benefits, and avoidance of postoperative shoulder disability [8]. Even with regards to level IV metastasis, most studies present a reserved view to include lower-level dissection as an exception for tongue carcinoma [14]. Our study found that all included literature for oral tongue carcinoma recommended lower-level dissection, probably owing to the tendency of tongue cancer toward early metastasis, the possible reason being that the tongue possesses an extensive lymphatic network.

#### Strengths and limitations

The present review included studies that reported varied study groups and regions, thereby introducing heterogeneity. The heterogeneity of study groups is considered an important confounder. In our case, it resulted in the lack of appropriate data stratification by T stage, subsites, and involvement of other neck levels that we could not address. The retrospective nature of the included studies also introduced bias, which could not be addressed. However, we exercised caution in including studies with primary neck dissection data only. We excluded all studies with patients with revision NDs and omitted all groups lacking this information to eliminate bias from combining the results of the primary neck surgery with those of revision surgeries for neck recurrences, which may falsely inflate the rate of level IV or lower-level involvement. While most studies presented mixed data for cN0 and cN+ necks, we segregated data wherever possible to report the differences according to nodal status. Lastly, the decision for SND or MRND techniques is widely debated due to the lack of universally accepted guidelines for the anatomic limits for the variety of SND procedures available. The exact anatomic boundaries for an SND are also thought to vary among institutions and even among surgeons within an institution [59]. The analysis of these differences could not be accounted for in the present review.

## Conclusions

OSCC is constituted by a broad range of tumors with diverse etiologies. It can metastasize to cervical lymph nodes via lymphatic vessels. SND is considered a standard of care for most subsites, even in early-stage disease. Based on the evidence reviewed in the present study, the frequency of lower-level metastasis (level IV or V), as well as skip metastasis in OSCC, was low. Hence, routine dissection of these levels in cN0 and cN+ necks may be avoided except for tongue cancer. Since dissection of level IV/V is a burden with extra time and might expose patients to more complications, dissection might be selected for specific subsites and extension. It is recommended to dissect level IIb and lower levels for tongue cancers without considering the stage of primary lesions or lymph node status. Most studies recommended sparing lower-level neck dissections, while some were inconclusive.

## **Additional Information**

### Disclosures

**Conflicts of interest:** In compliance with the ICMJE uniform disclosure form, all authors declare the following: **Payment/services info:** All authors have declared that no financial support was received from any organization for the submitted work. **Financial relationships:** All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. **Other relationships:** All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

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